

Evolution of orbital excitations from insulating to superconducting MgTi₂O₄ filmsQizhi Li,^{1,*} Abhishek Nag,^{2,*} Xiquan Zheng,^{1,*} Fucong Chen,³ Jie Yuan,³ Kui Jin,³ Yi Lu,^{4,5,†} Ke-Jin Zhou,^{2,‡} and Yingying Peng^{1,6,§}¹International Center for Quantum Materials, School of Physics, Peking University, Beijing 100871, China²Diamond Light Source, Harwell Campus, Didcot OX11 0DE, United Kingdom³Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China⁴National Laboratory of Solid State Microstructures and Department of Physics, Nanjing University, Nanjing 210093, China⁵Collaborative Innovation Center of Advanced Microstructures, Nanjing University, Nanjing 210093, China⁶Collaborative Innovation Center of Quantum Matter, Beijing 100871, China

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Spinel oxides are well-known functional materials but rarely show superconductivity. Recently, emergent superconductivity was discovered in MgTi₂O₄, which is attributed to the increase of electron doping and the suppression of orbital order. Here, we utilized Ti *L*-edge resonant inelastic x-ray scattering to study the orbital excitations in superconducting (SC) and insulating MgTi₂O₄ films. We find that the spectral weight of orbital excitations is enhanced and the energy of *t*_{2g} intraband excitation is softened in the SC film compared to the insulating one, suggesting higher electron doping and a suppressed orbital order gap in the SC sample. These observations were further supported by our multiplet calculations using the minimal two-site model. Our results provide spectroscopic evidence for the competition between orbital order and superconductivity in MgTi₂O₄ and shed light on searching for novel superconductors in spinel oxides.

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Spinel compounds have attracted profound attention for their rich functionalities. Plenty of novel physical properties were revealed in these compounds, such as heavy fermion behaviors [1], charge ordering [2], and spin-Peierls transition [3]. However, for a long time, LiTi₂O₄ (LTO) was the only known superconductor among the spinel oxide family [4] with a transition temperature (*T*_c) of 13 K. The mechanism of superconductivity in these Ti-based superconductors is still heavily debated. Though being categorized as a BCS superconductor by specific heat [5] and Andreev reflection spectroscopy [6], an abnormally large upper critical field (*H*_{c2}) and an unconventional pseudogap were also observed by transport measurement [7] and scanning tunneling spectroscopy [8]. Previous resonant x-ray scattering experiments validated the relationship between superconducting (SC) and Ti³⁺ *d-d* electron correlations in LTO [9], which is analogous to the two-dimensional superconductivity in the LaAlO₃/SrTiO₃ heterostructure [10].

MgTi₂O₄ (MTO) is a close relative of LiTi₂O₄, sharing the same crystal structure. Despite the similarity between the two compounds, MTO was not expected to become a superconductor because it displays a metal-to-insulator transition (MIT) at 260 K. This MIT is considered to be induced by an orbital order and spin-singlet dimerization, which

is accompanied by a cubic-to-tetragonal lattice transformation [11–15]. The Peierls state at low temperatures opens a symmetry-breaking gap at the Fermi surface, thus MTO is generally considered a robust Mott insulator [16]. Recently, superconductivity has been realized in MTO films with an elongated *c* axis [17], in analogy to the (Li,Fe)OHFeSe [18] and La_{1.56}Sr_{0.44}CuO₄/La₂CuO₄ superconductors [19]. The emergence of superconductivity is attributed to the suppression of orbital order and the increase of the density of states near the Fermi energy according to density-functional calculations [17].

A microscopic mechanism of superconductivity involves various collective excitations, which are uncovered in different superconductors. For instance, the electron coupling to longitudinal optical (LO₄) phonon modes is proposed to play an essential role in the superconductivity of SrTiO₃ [20]. The lattice excitations and electronic interaction are considered to enhance each other in a positive-feedback loop in cuprates [21]. For orbital excitations, a systematic change of the *dd* exciton spectrum has been observed in cuprates when the materials change from the normal state into the superconducting state [22]. In MTO, Raman and infrared reflectivity experiments revealed phonons at 40–80 meV, plasma at 70–120 meV, and a temperature-dependent broad feature centered at ~60 meV that is attributed to spin or polaronic excitations [23]. The collective excitations relevant to SC in MTO are not clear yet. Whether the suppression of orbital order and the emergence of SC leaves any traces in the orbital or the lower-energy excitations remains an open question.

To identify the excitations in MTO and their connection to superconductivity, here we employ Ti *L*-edge resonant

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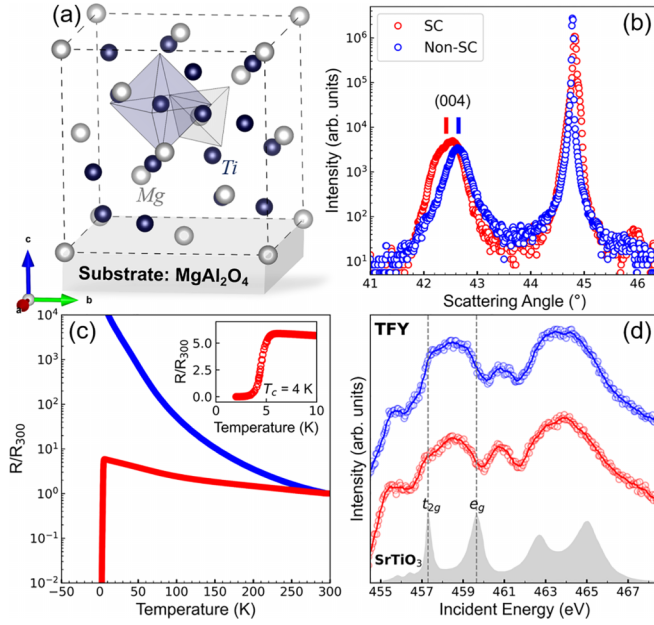


FIG. 1. (a) The crystal structure of MgTi₂O₄ (MTO) on top of the substrate of MgAl₂O₄. We omit the oxygen atoms for clarity. (b) X-ray diffraction measurements for (004) Bragg peaks (intensity in log scale). The left peak arises from MTO films and the right peak from substrates. (c) The measurements of resistivity as a function of temperature. The transition temperature of the SC film is highlighted in the inset. (d) The TFY x-ray absorption spectra measured at the Ti *L* edge. We include the XAS of SrTiO₃ with Ti⁴⁺ for comparison [24]. A vertical offset is added for clarity.

inelastic x-ray scattering (RIXS) to study superconducting (SC) and insulating (non-SC) MTO thin films. As a result, we uncover phonons and orbital excitations including *t*_{2g} intraband and *t*_{2g}-*e*_g interband orbital excitations in MTO, which is further supported by our multiplet calculations using the minimal two-site model. We observed no discernible difference in phonons between SC and non-SC samples. On the other hand, the intensities of the orbital excitations are stronger in the SC film, suggesting a relatively higher Ti³⁺ concentration. More importantly, the energy of *t*_{2g} intraband excitation is largely softened in the SC film with respect to the non-SC film, pointing to a suppressed orbital order gap.

The (001)-oriented MgTi₂O₄ films were epitaxially grown on (001)-oriented MgAl₂O₄ substrates (3 mm × 3 mm × 0.5 mm) by pulsed laser deposition (PLD). All samples were grown in a high vacuum of better than 1 × 10⁻⁶ Torr with a pulse energy of ~250 mJ and a repetition rate of 10 Hz. The deposition temperatures were 800 and 650 °C for SC and non-SC MTO films, respectively. The thicknesses of MTO films were 500 nm (SC) and 200 nm (non-SC) owing to the different deposition times. MTO is a cubic spinel oxide at room temperature with a three-dimensional network of corner-sharing tetrahedra formed by Ti³⁺ cations as shown in Fig. 1(a). The oxygen atoms form octahedra and tetrahedra around Ti³⁺ and Mg²⁺, respectively. The dominant near-cubic crystal field splits the Ti³⁺ *d* levels into *t*_{2g} and *e*_g ones [14]. We have characterized the films by x-ray diffraction [Fig. 1(b)] and resistivity measurements [Fig. 1(c)]. The lattice constants are

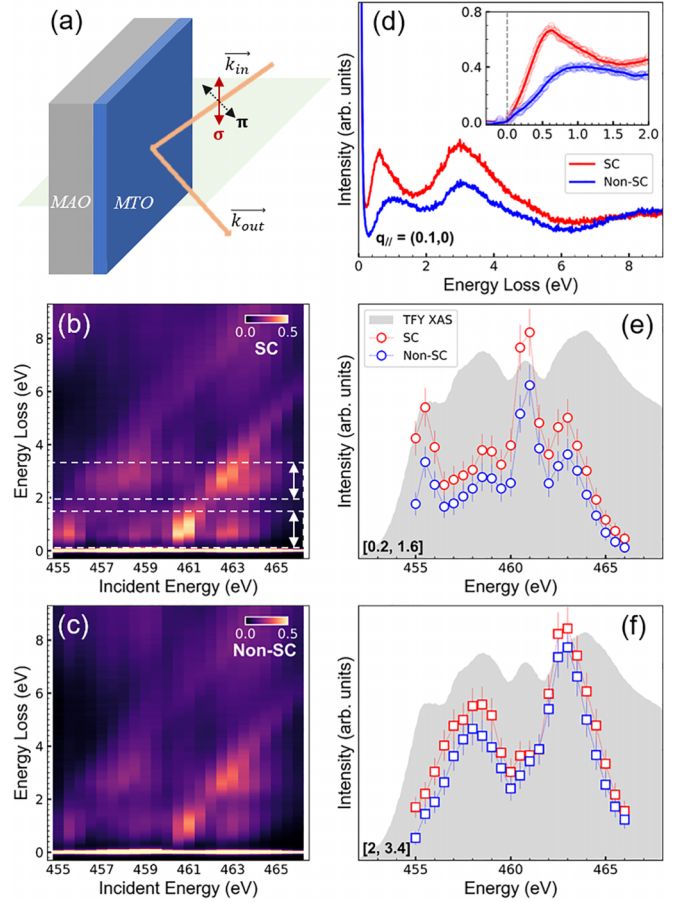


FIG. 2. (a) RIXS experimental geometry. (b), (c) RIXS intensity map vs energy loss and detuning energy across the Ti *L* edge for SC and non-SC MTO films at $\mathbf{q}_{\parallel} = (0.1, 0)$. The dotted lines and arrows indicate two Raman features. (d) The comparison of two representative RIXS spectra collected at 459 eV. The inset shows the low-energy spectra after subtracting the elastic peak and phonons. (e), (f) The incident energy dependence of the integrated intensity within [0.2, 1.6] eV and [2, 3.4] eV energy windows indicated by arrows in (b) or (d). Error bars were estimated by the noise level of the spectra. The XAS of the SC film is overlaid for comparison.

$a = b = 8.52 \text{ \AA}$ [11, 17], $c = 8.468 \text{ \AA}$ in the SC film, and $c = 8.458 \text{ \AA}$ in the non-SC film (see Fig. S1 in the Supplemental Material [25]). The resistivity measurements show that the T_c of SC film is ~4 K, comparable with the MgTi₂O₄/SrTiO₃ superlattice [17].

We measured the Ti *L*-edge x-ray absorption spectrum (XAS) at normal incidence with the total fluorescence yield (TFY) method [Fig. 1(d)]. The nominal valence of Ti in MTO is Ti³⁺, but there is some Ti⁴⁺ due to surface oxidation by comparing with the XAS of SrTiO₃ [24]. The dominating distribution of Ti³⁺ is manifested by the TFY channel and consistent with the previous electron energy loss spectroscopy (EELS) results [17]. The XAS and RIXS spectra were collected at the I21 beamline of the Diamond Light Source [26]. The experimental energy resolution for RIXS spectra was set at 22 or 31 meV for momentum-dependent measurements, while it was set at 41 meV for incident-energy detuning measurements. The energy resolution was determined by

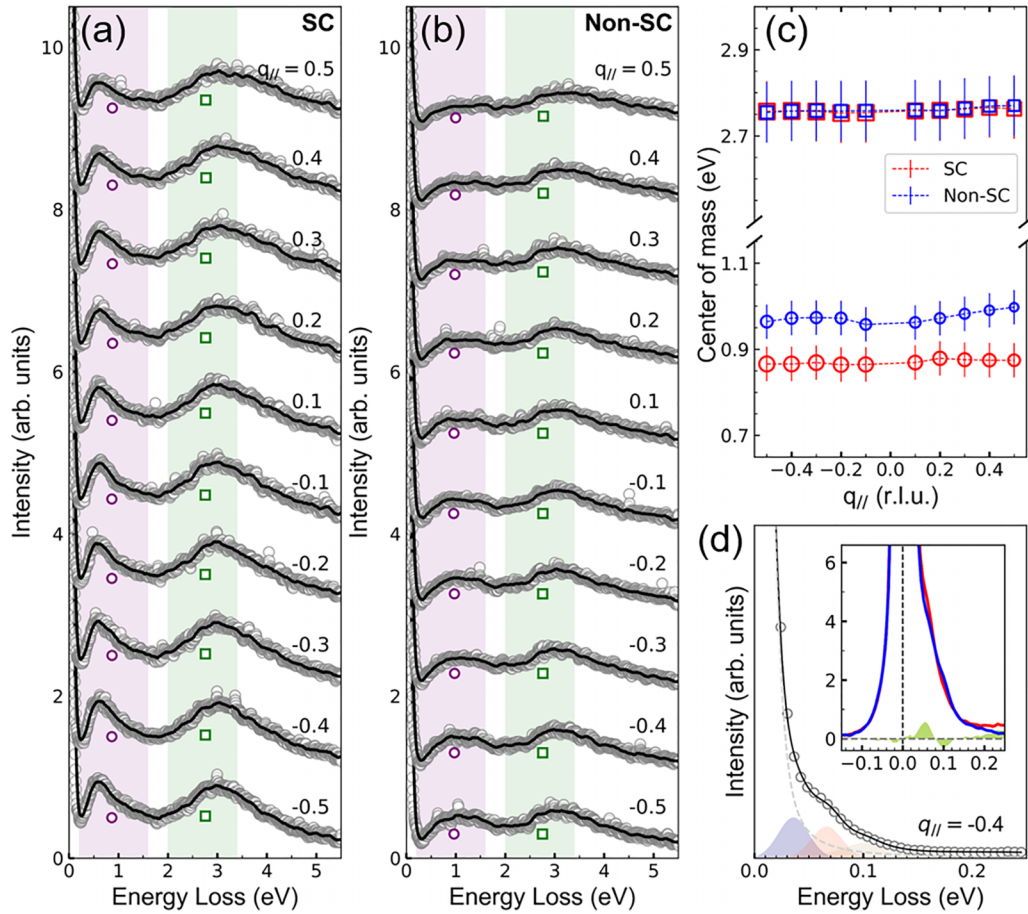


FIG. 3. (a), (b) RIXS spectra for SC and non-SC MTO films collected at 458.5 eV with q_{\parallel} indicated next to the curves. Each spectrum is shifted vertically for clarity. The shaded areas indicate the energy windows, and the c.m. are marked by circles and squares. (c) Momentum dependence of the c.m. in two energy windows for SC and non-SC MTO films. The sizes of the markers indicate the integrated intensity. Error bars were estimated via the uncertainty of the energy windows. (d) The fitting of phonons. The inset compares the low-energy region for SC and non-SC MTO samples.

measuring the full width at half maximum of the nonresonant diffuse scattering from a carbon tape. All the experiments were performed at a base temperature ~ 12 K. The scattering plane was horizontal, defined by the incident and outgoing beams with the geometry shown in Fig. 2(a). The scattering angle was fixed at 150° , so the maximum accessible in-plane momentum transfer (q_{\parallel}) was 0.61 r.l.u. in the reciprocal space. Unless otherwise specified, the RIXS spectra were collected with σ -incident polarization.

We first performed the incident-energy detuning measurements to distinguish the excitations between Raman features and the fluorescence background. The Raman features are localized excitations, whose energies are independent of the detuning energy, while the fluorescence background comes from itinerant carriers or continuum, increased with incident photon energy [9,10,27]. Figures 2(b) and 2(c) display a series of RIXS spectra across the Ti L edge in steps of 0.5 eV for SC and non-SC films, respectively. Below the energy loss of 4 eV, the RIXS intensity maps show two broad Raman features. To understand their origins we select two energy windows of [0.2,1.6] eV and [2,3.4] eV indicated by two arrows in Fig. 2(b) and track their intensities with incident energy. To facilitate the comparison of the two samples, we normalized the RIXS spectra to their high-energy background

($\sim [6, 9]$ eV), which overlapped for the two films as shown in Fig. 2(d). The integrated intensities within the two energy windows are shown in Figs. 2(e) and 2(f) for both samples. The [0.2,1.6] eV region resonates at the $\text{Ti}^{3+} t_{2g}$ absorption peak (~ 455.5 and 461 eV), suggesting a t_{2g} intraband transition. The [2,3.4] eV region resonates at $\text{Ti}^{3+} e_g$ absorption peak (~ 458.5 and 463 eV), suggesting a t_{2g} to e_g interband transition ($10Dq$) [24,27]. Figure 2(d) displays stronger dd orbital excitations in SC samples than non-SC ones. Since the $3d$ orbital is empty in Ti^{4+} ($3d^0$) and does not contribute to dd orbital excitations, the orbital excitation intensity can only arise from the Ti^{3+} ($3d^1$) concentration [24,27]. Therefore, the stronger dd orbital excitations in SC film indicate more Ti^{3+} cations. The inset of Fig. 2(d) shows the low-energy region after the subtraction of elastic peak and phonons (see Fig. S2 in the Supplemental Material [25]). It is clear that the spectral weight shifts to higher energy in non-SC film, indicating the existence of an energy gap, which was reported as ~ 0.25 eV due to orbital ordering in bulk MTO from optical measurements [28]. It is important to clarify that the peak at around 600 meV does not represent the splitting of the t_{2g} manifold, but rather reflects the renormalized width of the t_{2g} bands.

Then we performed momentum-dependent measurements along the $q_{\parallel} = (H, 0)$ direction at an incident energy of

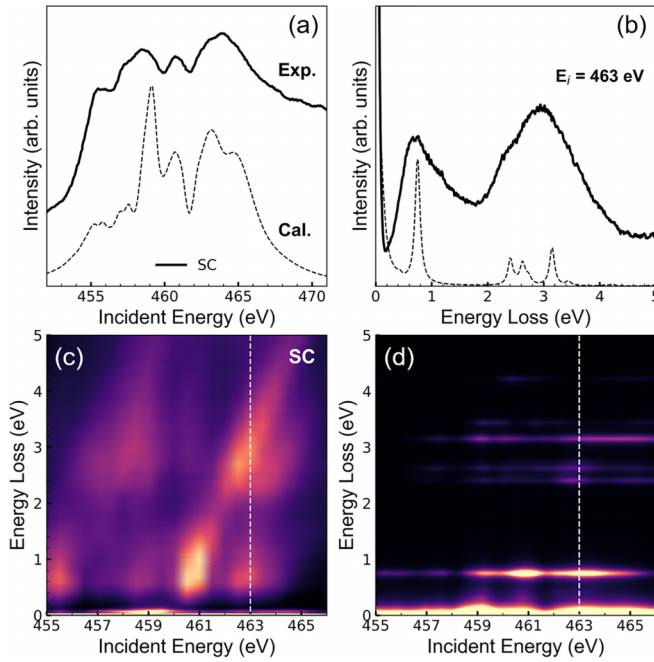


FIG. 4. (a) Comparison of TFY XAS and (b) RIXS spectrum between experimental data and calculations with the two-site model on SC film, respectively. Comparison of detuning RIXS map between (c) experiment and (d) calculation on SC film. The selected RIXS spectrum in (b) is indicated by the dotted white line.

458.5 eV. The RIXS spectra are shown in Figs. 3(a) and 3(b), and the shaded areas represent two groups of dd excitations, each of which consists of multiple peaks. We calculated the center of the mass (c.m.) as their energies in Fig. 3(c), and the size of the markers indicates the intensities. The dispersionless behavior confirms the local nature of dd orbital excitations from Ti^{3+} . The intensities of dd excitations in SC film are stronger than those of non-SC film. Moreover, we observe that the energy of t_{2g} intraband transition softens by ~ 0.1 eV in SC film compared with the non-SC one, while the energies of $10Dq$ do not change between the two samples. We do not observe any dispersive excitations, suggesting the absence of magnetic or plasmon excitations in MTO [29–31].

We can also explore the low-energy phonons in SC and Non-SC MTO films. Previous Raman spectroscopy suggests the existence of two stronger phonon modes ($F_{2g} \sim 42$ meV and $F_{2g} \sim 62$ meV) and two weaker phonon modes ($E_g \sim 56$ meV and $A_{1g} \sim 79$ meV) [23]. Due to the limited energy resolution, we only fit the low-energy spectra with two resolution-limited Gaussian peaks corresponding to the stronger F_{2g} phonons and one anti-Lorentz peak for the higher-energy phonon ($A_{1g} \sim 79$ meV) (see Fig. S3 in the Supplemental Material [25]). One example of the fitting curve is shown in Fig. 3(d), and the inset shows the comparison of the two samples. We find there is no distinction for both phonon energy and intensity which relates to electron-phonon coupling (EPC) [32] between SC and non-SC films. We suspect that the absence of any enhancement of EPC in SC film may relate to the phonon modes responsible for the SC not being captured or activated in RIXS measurements or the

electronic correlation being more dominant in the emergence of SC in MTO.

To further validate the interpretation of our experimental results and to gain more insights into the electronic structure of MTO, multiplet calculations were performed using QUANTY [33,34]. In order to capture the nonlocal band effects of the d orbitals, we adopted a minimal two-site model including the full d orbitals of two Ti^{3+} ions with intersite hybridizations. Note that the local point group of the Ti^{3+} ions in MTO is D_{3d} , which lifts the t_{2g} degeneracy to a_{1g} and e'_g . Density-functional calculations [35] have shown that the splitting of on-site energies for these two energy levels is negligible without orbital order, which is thus left out of our calculation to keep the number of parameters minimal. The intersite hoppings are set to $V_{a_{1g}} = 0.90$, $V_{e_g} = 0.72$, $V_{e'_g} = 0.72$ for the SC case. The e_g - t_{2g} splitting $10Dq$ is set to 2.4. The $3d$ - $3d$ and $2p$ - $3d$ Coulomb interactions are set to $U_{dd} = 3.5$ and $U_{pd} = 4.0$, respectively, and the Slater integrals are scaled to 80% of their atomic Hartree-Fock values [36]. All energy parameters are in units of eV.

Figure 4(a) shows the calculated XAS spectra with an energy-dependent Lorentzian broadening to account for decay channels not included in the model. The calculated spectral line shape shows overall good agreement with their experimental counterparts. Most notably, the calculation reproduces the small peak at around 461 eV that we have previously identified as absorption into the Ti^{3+} t_{2g} levels. Figure 4(b) shows the calculated RIXS single spectra in comparison to the experimental one. The energies of orbital excitations are in good agreement with the experiment. The stronger spectral weight between 2 and 4 eV in the experiment is due to the contamination of the fluorescence background, which is omitted in the calculation. The calculated detuning RIXS map in Fig. 4(d) also agrees well with the experimental one in Fig. 4(c), especially the predominant resonance of the low-energy dd excitation around 461 eV. The consistency between the calculation and the experiments for both XAS and RIXS lends support to our interpretation of the experimental results.

Previous energy-dispersive x-ray (EDX) measurements on MTO films showed that the ratio of Mg and Ti (Mg/Ti) decreases with a higher deposition temperature [17]. With a reduced Mg/Ti ratio, the MTO films have higher electron doping and a larger c lattice constant. Consequently, the orbital order is suppressed, as inferred from the absence of kink in the temperature-dependent transport measurement, and superconductivity emerges from this strongly correlated background. Here, we observed a consistent increase of out-of-plane lattice from non-SC to SC films (from 8.458 to 8.468 Å). Furthermore, we observe strongly suppressed RIXS low-energy ($\lesssim 0.5$ eV) spectral weight in the non-SC film, indicating the existence of a gap. This also shifts the c.m. of the intra- t_{2g} excitation up by 0.1 eV. We emphasize that such a large shift is unlikely the result of increased crystal-field splitting within the t_{2g} orbitals since the difference of c lattice constants between two films is only 0.12%. A simple estimation using Harrison's rule [37] would result in a change of less than 1%. Also note that the resistance measurements of the non-SC film show no clear kink feature [Fig. 1(c)], possibly due to a partially suppressed orbital order and/or structural relaxation.

In conclusion, we have measured the orbital excitations and phonons in superconducting and insulating MgTi_2O_4 films as a function of incident energy and transfer momentum. The phonons are nearly identical in the two films, while the orbital excitations are enhanced and intra- t_{2g} band excitation is softened in the SC film. The difference highlights the important roles played by electron doping and suppression of orbital order in the appearance of superconductivity. The two-site calculations reproduce well the orbital excitations in the RIXS spectra of SC film. We notice that V-doped bulk $\text{Mg}_{1-x}\text{Ti}_2\text{O}_4$ has been recently reported as a superconductor with an increase of T_c up to 16 K [38]. It is also intriguing to figure out whether the charge and orbital

fluctuations introduced by V doping assist in boosting the superconductivity.

The RIXS experimental data were collected at beamline I21 of the Diamond Light Source in Harwell Campus, United Kingdom. Y.Y.P. is grateful for financial support from the Ministry of Science and Technology of China (Grants No. 2019YFA0308401 and No. 2021YFA1401903) and the National Natural Science Foundation of China (Grant No. 11974029). Y.L. acknowledges support from the National Key R&D Program of China under Grant No. 2022YFA1403000 and the National Natural Science Foundation of China under Grant No. 12274207.

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